HOUSEHOLDER GROUP ESTATE & RETIREMENT SPECIALISTS, LLC PRIVACY NOTICE

Who We Are

Householder Group Estate & Retirement Specialists, LLC
8985 E. Bell Road
Scottsdale, AZ 85260
(602) 604-0600

Facts — What does Householder Group Estate & Retirement Specialists, LLC Do with Your Personal Information?

Introduction

Householder Group Estate & Retirement Specialists, LLC ("Householder Group") collects information about you to assist us in providing services and products to help you meet your financial goals and objectives and provide high standards of customer service. Additionally, information is obtained from you in order to help us fulfill our legal and regulatory requirements. Information collected may vary depending on the products and services requested and the scope of your engagement with us.

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.

Personal Information We Collect

The types of personal information we collect can include:

- Identifiers such as real name, postal address, email address, telephone number, driver's license number, Social Security number, passport number, government issued identification, or other similar identifiers;
- Protected classification characteristics under federal law such as age, gender, marital status;
- Financial and commercial information such as investment background and experience, income, net and liquid net worth, account numbers, as well as records of products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies;

How We Share Information About You

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons why financial companies can share their customers' personal information, the reasons Householder Group chooses to share personal information and whether you can limit this sharing.

Reasons We Can Share Your Personal Information	Does Adviser share?	Can you limit this sharing?
For our everyday business purposes, such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	Yes	No
For our marketing purposes to offer our products and services to you.	Yes	No
For joint marketing with other financial companies.	Yes	No
For our affiliates' everyday business purposes—information about your transactions and experiences. We may share information about our customers to process transactions, maintain your account(s), or respond to court orders and legal investigations.	Yes	No
For our affiliates' everyday business purposes—information about your creditworthiness.	No	We don't share
For non-affiliates' everyday business purposes to assist us in obtaining business or providing account maintenance or customer service to your account(s).	Yes	No
For our affiliates to market to you—to offer new products or services to you.	No	We don't share
For nonaffiliates to market to you—we do not sell, share, or disclose your nonpublic personal information to nonaffiliated third-party marketing companies.	No	We don't share

What We Do

How We Protect Your Personal Information

- Computer safeguards and secured files and buildings.
- We only grant access to your personal information to parties with whom we have executed confidentiality/nondisclosure agreements and who need that information to serve you or to assist us in conducting our operations.
- We have physical and electronic safeguards in place to ensure that we comply with our own policy, industry practices, and federal and state regulations.

- Our employees are trained in the proper handling of sensitive information.

How We Collect Your Personal Information

We collect your personal information, or example, when you:

- Open an account
- Share your investment or retirement portfolio
- Seek advice about your investments

We also collect your personal information from others such as credit bureaus, affiliates or other companies.

Information You May Limit from Sharing

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes—information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

Non-affiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you. This may include banks, credit unions, or other financial institutions with which we have a joint marketing agreement.

Other Important Information

Former Customers

If you are a former customer or end your relationship with Householder Group, these policies also apply to you; we treat your information with the same care as we do information about current customers.

Opt-In State Residents

If you live in an "opt-in" state, where we are required to obtain your affirmative consent to share your nonpublic personal information with non-affiliated third parties who do not currently assist us in servicing your account or conducting our business, your advisory representative is required to obtain your consent before your advisory representative can take your information with him or her should your advisor leave Householder Group Estate & Retirement Specialists, LLC.

Information for California, North Dakota, and Vermont Customers

In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.

Information for Nevada Customers

Nevada law requires us to disclose that you may request to be placed on Householder Group Estate & Retirement Specialists' internal "do not call" list at any time by calling **480-382-9502** or emailing **ComplianceAdmin@HouseholderGroup.com**, and that we are providing this notice to you pursuant to state law, and that you may obtain further information by contacting the Nevada Attorney General, 555 E. Washington Ave., Suite 3900, Las Vegas, NV 89101; phone **702-486-3132**; email BCPINFO@ag.state.nv.us.

To Limit Our Sharing

- Call us at 480-382-9502
- Email us at ComplianceAdmin@HouseholderGroup.com
- Mail us at:

  Householder Group Estate & Retirement Specialists, LLC
  Attn: Compliance
  8985 E. Bell Road
  Scottsdale, AZ 85260

Please provide us with your first and last name along with your address and phone number so we may fulfill your request.